

Form **990****Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

2006

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2006 calendar year, or tax year beginning

and ending

B Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return
☐ Amended return
☐ Application pending

Please use IRS label or print or type See Specific Instructions

C Name of organization**ALCOHOLICS ANONYMOUS WORLD SERVICES, INC**

Number and street (or P O box if mail is not delivered to street address)

475 RIVERSIDE DRIVE

Room/suite

City or town, state or country, and ZIP + 4

NEW YORK, NY 10115**D** Employer identification number**13-1679617****E** Telephone number**212-870-3400****F** Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

H and **I** are not applicable to section 527 organizations.**H(a)** Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** If "Yes," enter number of affiliates ▶ **N/A****H(c)** Are all affiliates included? **N/A** ☐ Yes ☐ No
(If "No," attach a list)**H(d)** Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No**I** Group Exemption Number ▶ **N/A****G** Website: ▶ **WWW.ALCOHOLICS-ANONYMOUS.ORG****J** Organization type (check only one) ▶ ☒ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527**K** Check here ☐ if the organization is not a 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return**M** Check ☒ if the organization is not required to attach Sch B (Form 990, 990-EZ, or 990-PF)**L** Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **11,685,796.****Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances**

1	Contributions, gifts, grants, and similar amounts received			
a	Contributions to donor advised funds	1a		
b	Direct public support (not included on line 1a)	1b		
c	Indirect public support (not included on line 1a)	1c		
d	Government contributions (grants) (not included on line 1a)	1d		
e	Total (add lines 1a through 1d) (cash \$ _____ noncash \$ _____)	1e		0.
2	Program service revenue including government fees and contracts (from Part VII, line 93)	2		
3	Membership dues and assessments	3		
4	Interest on savings and temporary cash investments	4		4,778.
5	Dividends and interest from securities	5		
6 a	Gross rents	6a		
b	Less: rental expenses	6b		
c	Net rental income or (loss). Subtract line 6b from line 6a	6c		
7	Other investment income (describe ▶ _____)	7		
8 a	Gross amount from sales of assets other than inventory	(A) Securities		(B) Other
b	Less: cost or other basis and sales expenses	8a		
c	Gain or (loss) (attach schedule)	8b		
d	Net gain or (loss). Combine line 8c, columns (A) and (B)	8c		
9	Special events and activities (attach schedule). If any amount is from gaming, check here <input type="checkbox"/>	8d		
a	Gross revenue (not including \$ _____ of contributions reported on line 1b)	9a		
b	Less: direct expenses other than fundraising expenses	9b		
c	Net income or (loss) from special events. Subtract line 9b from line 9a	9c		
10 a	Gross sales of inventory, less returns and allowances	10a	11,681,018.	
b	Less: cost of goods sold	10b	3,164,182.	
c	Gross profit or (loss) from sales of inventory (attach schedule). Subtract line 10b from line 10a	10c	Stmt 2	8,516,836.
11	Other revenue (from Part VII, line 103)	11		
12	Total revenue. Add lines 1e, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11	12		8,521,614.
13	Program service expenses (from line 44, column (B))	13		6,968,303.
14	Management and general (from line 44, column (C))	14		1,716,400.
15	Fundraising (from line 44, column (D))	15		
16	Payments to affiliates (attach schedule)	16		
17	Total expenses. Add lines 13 and 14, column (A)	17		8,684,703.
18	Excess (or deficit) for the year. Subtract line 17 from line 12	18		-163,089.
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19		3,951,193.
20	Other changes in net assets or fund balances (attach explanation)	20		0.
21	Net assets or fund balances at end of year. Combine lines 18, 19, and 20	21		3,788,104.

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2006)

162

Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22a Grants paid from donor advised funds (attach schedule) (cash \$ <u>0</u> noncash \$ <u>0</u>) If this amount includes foreign grants, check here <input type="checkbox"/>				
22b Other grants and allocations (attach schedule) (cash \$ <u>3020827</u> noncash \$ <u>0</u>) If this amount includes foreign grants, check here <input type="checkbox"/>	22b 3,020,827.	3,020,827.	Statement 4	
23 Specific assistance to individuals (attach schedule)	23			
24 Benefits paid to or for members (attach schedule)	24			
25a Compensation of current officers, directors, key employees, etc. listed in Part V-A Stmt 3	25a 258,416.	0.	258,416.	0.
b Compensation of former officers, directors, key employees, etc. listed in Part V-B	25b 0.	0.	0.	0.
c Compensation and other distributions, not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	25c			
26 Salaries and wages of employees not included on lines 25a, b, and c	26 1,595,824.	1,140,558.	455,266.	
27 Pension plan contributions not included on lines 25a, b, and c	27 304,483.	223,019.	81,464.	
28 Employee benefits not included on lines 25a - 27	28 265,802.	176,960.	88,842.	
29 Payroll taxes	29 130,421.	84,674.	45,747.	
30 Professional fundraising fees	30			
31 Accounting fees	31 55,500.		55,500.	
32 Legal fees	32 170,733.	7,500.	163,233.	
33 Supplies	33 42,189.	5,275.	36,914.	
34 Telephone	34 21,943.	13,830.	8,113.	
35 Postage and shipping	35 1,541,138.	1,515,314.	25,824.	
36 Occupancy	36 252,278.	166,104.	86,174.	
37 Equipment rental and maintenance	37 45,805.	6,397.	39,408.	
38 Printing and publications	38 3,032.	2,099.	933.	
39 Travel	39 68,413.	16,716.	51,697.	
40 Conferences, conventions, and meetings	40			
41 Interest	41			
42 Depreciation, depletion, etc. (attach schedule)	42 34,851.	21,488.	13,363.	
43 Other expenses not covered above (itemize):				
a SELLING EXPENSES	43a 213,258.	213,258.		
b CONTRACTED SERVICES	43b 319,144.	116,583.	202,561.	
c OFFICE SERVICE &	43c			
d EXPENSE	43d 102,607.	11,615.	90,992.	
e BAD DEBTS	43e 10,036.		10,036.	
f ROYALTIES ON BOOKS	43f 169,591.	169,591.		
g WRITERS FEES	43g 58,412.	56,495.	1,917.	
44 Total functional expenses. Add lines 22a through 43g (Organizations completing columns (B)-(D), carry these totals to lines 13-15)	44 8,684,703.	6,968,303.	1,716,400.	0.

Joint Costs. Check ☐ if you are following SOP 98-2.

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services?

Yes ☐ No ☒If "Yes," enter (i) the aggregate amount of these joint costs \$ N/A, (ii) the amount allocated to Program services \$ N/A;(iii) the amount allocated to Management and general \$ N/A; and (iv) the amount allocated to Fundraising \$ N/A

Part III Statement of Program Service Accomplishments (See the instructions.)

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

What is the organization's primary exempt purpose? ► See Statement 5

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

Program Service Expenses
(Required for 501(c)(3) and (4) orgs, and 4947(a)(1) trusts; but optional for others)

a SALES OF BOOKS, PAMPHLETS, CASSETTE TAPES, ETC. DIRECTED TOWARDS THE REHABILITATION OF ALCOHOLICS. DURING 2006, 6,803,399 ITEMS WERE DISTRIBUTED.

(Grants and allocations \$ 3,020,827.) If this amount includes foreign grants, check here ► ☐

6,968,303.

b

(Grants and allocations \$) If this amount includes foreign grants, check here ► ☐

c

(Grants and allocations \$) If this amount includes foreign grants, check here ► ☐

d

(Grants and allocations \$) If this amount includes foreign grants, check here ► ☐

e Other program services (attach schedule)

(Grants and allocations \$) If this amount includes foreign grants, check here ► ☐

f Total of Program Service Expenses (should equal line 44, column (B), Program services) ► 6,968,303.

Form 990 (2006)

Part IV Balance Sheets (See the instructions.)**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

		(A) Beginning of year		(B) End of year
Assets	45 Cash - non-interest-bearing	489,702.	45	434,294.
	46 Savings and temporary cash investments	375,149.	46	305,897.
	47 a Accounts receivable	47a 1,388,112.		
	b Less: allowance for doubtful accounts	47b 15,000.	1,603,437.	47c 1,373,112.
	48 a Pledges receivable	48a		48c
	b Less: allowance for doubtful accounts	48b		
	49 Grants receivable		49	
	50 a Receivables from current and former officers, directors, trustees, and key employees		50a	
	b Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)		50b	
	51 a Other notes and loans receivable	51a		
	b Less: allowance for doubtful accounts	51b		51c
	52 Inventories for sale or use	2,162,505.	52	2,262,932.
	53 Prepaid expenses and deferred charges	99,591.	53	74,331.
	54 a Investments - publicly-traded securities <input type="checkbox"/> Cost <input type="checkbox"/> FMV		54a	
	b Investments - other securities <input type="checkbox"/> Cost <input type="checkbox"/> FMV		54b	
55 a Investments - land, buildings, and equipment: basis	55a			
b Less: accumulated depreciation	55b		55c	
56 Investments - other		56		
57 a Land, buildings, and equipment: basis	57a 339,561.			
b Less: accumulated depreciation Stmt 6	57b 109,465.	198,699.	57c 230,096.	
58 Other assets, including program-related investments (describe ▶ See Statement 7)		1.	58 1.	
59 Total assets (must equal line 74). Add lines 45 through 58		4,929,084.	59	4,680,663.
Liabilities	60 Accounts payable and accrued expenses	954,665.	60	853,092.
	61 Grants payable		61	
	62 Deferred revenue	23,226.	62	39,467.
	63 Loans from officers, directors, trustees, and key employees		63	
	64 a Tax-exempt bond liabilities		64a	
	b Mortgages and other notes payable		64b	
	65 Other liabilities (describe ▶)		65	
66 Total liabilities. Add lines 60 through 65		977,891.	66	892,559.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.			
	67 Unrestricted	3,951,193.	67	3,788,104.
	68 Temporarily restricted		68	
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74.			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
	73 Total net assets or fund balances. Add lines 67 through 69 or lines 70 through 72 (Column (A) must equal line 19 and column (B) must equal line 21)	3,951,193.	73	3,788,104.
74 Total liabilities and net assets/fund balances. Add lines 66 and 73	4,929,084.	74	4,680,663.	

Form 990 (2006)

Part VI Other Information (continued)

Yes No

82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a		X
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.)	82b	N/A	
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	N/A	
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a	N/A	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	N/A	
85	501(c)(4), (5), or (6) organizations. a Were substantially all dues nondeductible by members?	85a	N/A	
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	85b	N/A	
	If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.			
c	Dues, assessments, and similar amounts from members	85c	N/A	
d	Section 162(e) lobbying and political expenditures	85d	N/A	
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	N/A	
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A	
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	85g	N/A	
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	N/A	
86	501(c)(7) organizations Enter: a Initiation fees and capital contributions included on line 12	86a	N/A	
b	Gross receipts, included on line 12, for public use of club facilities	86b	N/A	
87	501(c)(12) organizations. Enter: a Gross income from members or shareholders	87a	N/A	
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)	87b	N/A	
88 a	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?	88a		X
b	At any time during the year, did the organization, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Part XI	88b		X
89 a	501(c)(3) organizations Enter: Amount of tax imposed on the organization during the year under: section 4911 ▶ 0 ., section 4912 ▶ 0 ., section 4955 ▶ 0 .			
b	501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0 .			
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization ▶ 0 .			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction?	89e		X
f	All organizations. Did the organization acquire a direct or indirect interest in any applicable insurance contract?	89f		X
g	For supporting organizations and sponsoring organizations maintaining donor advised funds. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	89g		X
90 a	List the states with which a copy of this return is filed ▶ NY			
b	Number of employees employed in the pay period that includes March 12, 2006	90b	84	
91 a	The books are in care of ▶ The Organization Telephone no ▶ 212-870-3400			
	Located at ▶ 475 RIVERSIDE DRIVE, NEW YORK, NY ZIP + 4 ▶ 10115			
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country ▶ Canada	91b	X	
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			

Form 990 (2006)

Part VI Other Information (continued)

Yes No

c At any time during the calendar year, did the organization maintain an office outside of the United States?

91c

X

If "Yes," enter the name of the foreign country **N/A**

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here

and enter the amount of tax-exempt interest received or accrued during the tax year

92

N/A

Part VII Analysis of Income-Producing Activities (See the instructions.)

Note: Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclu- sion code	(D) Amount	
93 Program service revenue:					
a					
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	4,778.	
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					8,516,836.
103 Other revenue:					
a					
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		0.		4,778.	8,516,836.
105 Total (add line 104, columns (B), (D), and (E))					8,521,614.

Note: Line 105 plus line 1e, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See the instructions.)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
102	SALES OF BOOKS, PAMPHLETS AND CDS TO A.A. GROUPS, MEMBERS AND
102	OTHER INTERESTED PERSONS SEEKING REHABILITATION FROM ALCOHOLISM

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See the instructions.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
N/A	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See the instructions.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

X No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

Yes

X No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).

Part XI Information Regarding Transfers To and From Controlled Entities. Complete only if the organization is a controlling organization as defined in section 512(b)(13). N/A

106 Did the reporting organization make any transfers to a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

Yes	No
-----	----

	(A) Name, address, of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer
a	-----			
b	-----			
c	-----			
Totals				

107 Did the reporting organization receive any transfers from a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

Yes	No
-----	----

	(A) Name, address, of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer
a	-----			
b	-----			
c	-----			
Totals				

108 Did the organization have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in question 107 above?

Yes	No
-----	----

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please Sign Here: Donald W Meurer Signature of officer Date 5/10/07

Type or print name and title: Donald W Meurer CFO ASSIST TREASURER

Paid Preparer's Use Only: Preparer's signature [Signature] Date MAY 04 2007 Check if employed ☐ Preparer's SSN or PTIN (See Gen. Inst. X)

Firm's name (or yours if self-employed), address, and ZIP + 4: Owen J Flanagan & Co
60 East 42nd Street
New York, NY 10165

EIN Phone no 212-682-2783

Form 990 (2006)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information-(See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

2006

Name of the organization

ALCOHOLICS ANONYMOUS WORLD SERVICES, INC

Employer identification number

13 1679617

Part I

Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 2 of the instructions List each one If there are none, enter "None.")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
CHRISTOPHER CAVANAUGH C/O AA WORLD SERVICES, NEW YORK, NY 1	PUBLICATIONS 35.00	150,115.	53,651.	0.
ELEANOR WIDDOES C/O AA WORLD SERVICES, NEW YORK, NY 1	WRITER 35.00	90,696.	32,415.	0.
DAN DEFILIPPIS C/O AA WORLD SERVICES, NEW YORK, NY 1	ASST CONT 35.00	85,127.	30,424.	0.
JOHN DE STEFANO C/O AA WORLD SERVICES, NEW YORK, NY 1	EDITOR 35.00	77,147.	27,572.	0.
DANIEL BROWN C/O AA WORLD SERVICES, NEW YORK, NY 1	PRODUCTION 35.00	75,392.	26,945.	0.
Total number of other employees paid over \$50,000 ▶	8			

Part II-A

Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
THELEN REID BROWN RAYSMAN & STEINER 875 THIRD AVENUE, NEW YORK, NY 10022	LEGAL	137,299.
CS SYSTEMS SPARTA, NJ 07871	PROJECT MANAGEMENT	126,585.
INVISION COMMACK, NY 11725	COMPUTER SERVICES	57,396.
OWEN J FLANAGAN & CO, CPA'S 60 E 42ND STREET, NEW YORK, NY 10165	AUDIT & 990	55,500.
Total number of others receiving over \$50,000 for professional services ▶	0	

Part II-B

Compensation of the Five Highest Paid Independent Contractors for Other Services

(List each contractor who performed services other than professional services, whether individuals or firms If there are none, enter "None" See page 2 of the instructions)

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of other contractors receiving over \$50,000 for other services ▶	0	

Part III Statements About Activities (See page 2 of the instructions)

Yes No

- 1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ► \$ _____ \$ _____ (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B)

1 X

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.

- 2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions.)

a Sale, exchange, or leasing of property?

2a X

b Lending of money or other extension of credit?

2b X

c Furnishing of goods, services, or facilities?

2c X

d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? See Part V-A, Form 990

2d X

e Transfer of any part of its income or assets?

2e X

- 3 a Did the organization make grants for scholarships, fellowships, student loans, etc.? (If "Yes," attach an explanation of how the organization determines that recipients qualify to receive payments.)

3a X

b Did the organization have a section 403(b) annuity plan for its employees?

3b X

c Did the organization receive or hold an easement for conservation purposes, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," attach a detailed statement

3c X

d Did the organization provide credit counseling, debt management, credit repair, or debt negotiation services?

3d X

- 4 a Did the organization maintain any donor advised funds? If "Yes," complete lines 4b through 4g. If "No," complete lines 4f and 4g

4a X

b Did the organization make any taxable distributions under section 4966?

4b X

c Did the organization make a distribution to a donor, donor advisor, or related person?

4c X

d Enter the total number of donor advised funds owned at the end of the tax year

► 0

e Enter the aggregate value of assets held in all donor advised funds owned at the end of the tax year

► 0.

f Enter the total number of separate funds or accounts owned at the end of the year (excluding donor advised funds included on line 4d) where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts

► 0.

g Enter the aggregate value of assets in all funds or accounts included on line 4f at the end of the tax year

► 0.

Schedule A (Form 990 or 990-EZ) 2006

Part IV Reason for Non-Private Foundation Status (See pages 4 through 7 of the instructions)I certify that the organization is not a private foundation because it is (Please check only **ONE** applicable box)

- 5 ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8 ☐ A federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ►
- 10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)
- 11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 11b ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 12 ☒ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)
- 13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and otherwise meets the requirements of section 509(a)(3) Check the box that describes the type of supporting organization
☐ Type I ☐ Type II ☐ Type III-Functionally Integrated ☐ Type III-Other

Provide the following information about the supported organizations. (See page 7 of the instructions)

(a) Name(s) of supported organization(s)	(b) Employer Identification number (EIN)	(c) Type of organization (described in lines 5 through 12 above or IRC section)	(d) Is the supported organization listed in the supporting organization's governing documents?		(e) Amount of support
			Yes	No	
Total ►					

- 14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 7 of the instructions)

Schedule A (Form 990 or 990-EZ) 2006

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12.) **Use cash method of accounting.**
Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2004	(c) 2003	(d) 2002	(e) Total
15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.)					
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	11,601,066.	11,297,561.	10,460,468.	10,333,312.	43,692,407.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	6,810.	1,758.	2,194.	5,638.	16,400.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.					
23 Total of lines 15 through 22	11,607,876.	11,299,319.	10,462,662.	10,338,950.	43,708,807.
24 Line 23 minus line 17	6,810.	1,758.	2,194.	5,638.	16,400.
25 Enter 1% of line 23	116,079.	112,993.	104,627.	103,390.	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24					26a N/A
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2002 through 2005 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b N/A
c Total support for section 509(a)(1) test. Enter line 24, column (e)					26c N/A
d Add: Amounts from column (e) for lines: 18 _____ 19 _____ 22 _____ 26b _____					26d N/A
e Public support (line 26c minus line 26d total)					26e N/A
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f N/A %
27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year: (2005) 0. (2004) 0. (2003) 0. (2002) 0.					
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11b, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: (2005) 1,115,167. (2004) 1,400,725. (2003) 943,832. (2002) 753,351.					
c Add: Amounts from column (e) for lines: 15 _____ 16 _____ 17 43,692,407. 20 _____ 21 _____					27c 43,692,407.
d Add: Line 27a total 0. and line 27b total 4,213,075.					27d 4,213,075.
e Public support (line 27c total minus line 27d total)					27e 39,479,332.
f Total support for section 509(a)(2) test. Enter amount on line 23, column (e)					27f 43,708,807.
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g 90.3235%
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h .0375%

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 2002 through 2005, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15

Part V Private School Questionnaire (See page 9 of the instructions)

N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29	
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30	
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)	31	
<hr/>		
<hr/>		
32 Does the organization maintain the following.		
a Records indicating the racial composition of the student body, faculty, and administrative staff?	32a	
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b	
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c	
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)	32d	
<hr/>		
33 Does the organization discriminate by race in any way with respect to		
a Students' rights or privileges?	33a	
b Admissions policies?	33b	
c Employment of faculty or administrative staff?	33c	
d Scholarships or other financial assistance?	33d	
e Educational policies?	33e	
f Use of facilities?	33f	
g Athletic programs?	33g	
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)	33h	
<hr/>		
<hr/>		
34 a Does the organization receive any financial aid or assistance from a governmental agency?	34a	
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement	34b	
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	35	

Schedule A (Form 990 or 990-EZ) 2006

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 10 of the instructions)

N/A

(To be completed ONLY by an eligible organization that filed Form 5768)

Check ☐ a ☐ if the organization belongs to an affiliated group.Check ☐ b ☐ if you checked "a" and "limited control" provisions apply**Limits on Lobbying Expenditures**

(The term "expenditures" means amounts paid or incurred.)

(a)
Affiliated group
totals(b)
To be completed for all
electing organizations

N/A

36 Total lobbying expenditures to influence public opinion (grassroots lobbying)

36

37 Total lobbying expenditures to influence a legislative body (direct lobbying)

37

38 Total lobbying expenditures (add lines 36 and 37)

38

39 Other exempt purpose expenditures

39

40 Total exempt purpose expenditures (add lines 38 and 39)

40

41 Lobbying nontaxable amount Enter the amount from the following table -

If the amount on line 40 is -

The lobbying nontaxable amount is -

Not over \$500,000

20% of the amount on line 40

Over \$500,000 but not over \$1,000,000

\$100,000 plus 15% of the excess over \$500,000

Over \$1,000,000 but not over \$1,500,000

\$175,000 plus 10% of the excess over \$1,000,000

Over \$1,500,000 but not over \$17,000,000

\$225,000 plus 5% of the excess over \$1,500,000

Over \$17,000,000

\$1,000,000

41

42 Grassroots nontaxable amount (enter 25% of line 41)

42

43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36

43

44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38

44

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720.**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below See the instructions for lines 45 through 50 on page 13 of the instructions)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				N/A
	(a) 2006	(b) 2005	(c) 2004	(d) 2003	(e) Total
45 Lobbying nontaxable amount					0.
46 Lobbying ceiling amount (150% of line 45(e))					0.
47 Total lobbying expenditures					0.
48 Grassroots nontaxable amount					0.
49 Grassroots ceiling amount (150% of line 48(e))					0.
50 Grassroots lobbying expenditures					0.

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See page 13 of the instructions)

N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h.)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (Add lines c through h.)

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Yes	No	Amount
		0.

2006 DEPRECIATION AND AMORTIZATION REPORT

Form 990 Page 2

990

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	COMPUTER EQUIPMENT	Varies	SL	3.00	17	237,725.			237,725.	32,488.		74,888.
2	FURNITURE	Varies	SL	8.00	16	28,172.			28,172.	63.		2,026.
3	SOFTWARE	Varies		60M	43	73,664.			73,664.			0.
4	LESS: CHARGED TO GSB * Total 990 Page 2 Depr & Amort			.000	16	339,561.		0.	339,561.	32,551.	0.	42,063.
												34,851.

625102
07-28-06

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

Footnotes

Statement 1

990 PART V

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS (PENSION, MEDICAL AND LIFE INSURANCE) ARE BASED UPON REASONABLE ESTIMATES. THERE ARE NO OTHER DEFERRED COMPENSATION PLANS.

PART OF OFFICERS' SALARIES ARE CARRIED BY THE GENERAL SERVICE BOARD OF A.A.

Form 990

Income and Cost of Goods Sold
Included on Part I, Line 10

Statement 2

Income

1. Gross receipts	12,006,989	
2. Returns and allowances	325,971	
3. Line 1 less line 2		11,681,018
4. Cost of goods sold (line 13)	3,164,182	
5. Gross profit (line 3 less line 4)		8,516,836

Cost of Goods Sold

6. Inventory at beginning of year	2,162,505	
7. Merchandise purchased	3,264,609	
8. Cost of labor		
9. Materials and supplies		
10. Other costs		
11. Add lines 6 through 10		5,427,114
12. Inventory at end of year	2,262,932	
13. Cost of goods sold (line 11 less line 12). .		3,164,182

<u>Name of Officer, etc.</u>	<u>Compensation</u>	<u>Employee Ben. Plans</u>	<u>Expense Accounts</u>	<u>Totals</u>
GREG MUTH - SEE FOOTNOTE	120,893.	43,207.		164,100.
A. Program Services				
B. Management and General	120,893.	43,207.		164,100.
C. Fundraising				

<u>Name of Officer, etc.</u>	<u>Compensation</u>	<u>Employee Ben. Plans</u>	<u>Expense Accounts</u>	<u>Totals</u>
DONALD MEURER - SEE FOOTNOTE	69,483.	24,833.		94,316.
A. Program Services				
B. Management and General	69,483.	24,833.		94,316.
C. Fundraising				

Total Program Services	
Total Management and General	258,416.
Total Fundraising	
Total Officer, etc., Compensation Included on Part II, Line 25a	258,416.

Form 990	Cash Grants and Allocations to Others	Statement	4
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Class of Activity/Donee's Name and Address	Amount
OPERATING GENERAL SERVICE BOARD OF A.A. 475 RIVERSIDE DRIVE NEW YORK, NY 10115	3,020,827.
Total Included on Form 990, Part II, line 22b	3,020,827.

Form 990	Statement of Organization's Primary Exempt Purpose Part III	Statement	5
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Explanation

DISSEMINATION OF LITERATURE AND RELATED ITEMS DIRECTED TOWARDS ALCOHOLICS
FOLLOWING THE A.A RECOVERY PROGRAM.

Form 990	Depreciation of Assets Not Held for Investment	Statement	6
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Description	Cost or Other Basis	Accumulated Depreciation	Book Value
COMPUTER EQUIPMENT	237,725.	107,376.	130,349.
FURNITURE	28,172.	2,089.	26,083.
SOFTWARE	73,664.	0.	73,664.
Total to Form 990, Part IV, ln 57	339,561.	109,465.	230,096.

Form 990	Other Assets	Statement	7
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Description	Amount
COPYRIGHTS & GOODWILL @ NOMINAL VALUE	1.
Total to Form 990, Part IV, line 58, Column B	1.

Form 990 Part V-A - List of Current Officers, Directors, Trustees and Key Employees Statement 8

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	
GREG MUTH - SEE FOOTNOTE C/O AA WORLD SERVICES, INC. NEW YORK, NY 10115	PRESIDENT 17.50	120,893.	43,207.	0.
EVA SANCHEZ C/O AA WORLD SERVICES, INC. NEW YORK, NY 10115	SECOND VICE PRESIDENT 17.50	0.	0.	0.
CHARLES J. BARTA CEDAR RAPIDS, IA 52403	FIRST VICE PRESIDENT 2.00	0.	0.	0.
GEORGE G. MARTIN MILDFORD, MI 48381	DIRECTOR 2.00	0.	0.	0.
HOWARD LOWERY COLUMBUS, MS 39429	TREASURER 2.00	0.	0.	0.
JOSEPH DENNAN C/O AA WORLD SERVICES, INC. NEW YORK, NY 10115	SECRETARY 17.50	0.	0.	0.
DONALD MEURER - SEE FOOTNOTE C/O AA WORLD SERVICES, INC. NEW YORK, NY 10115	ASST. TREASURER 17.50	69,483.	24,833.	0.
PAUL CLEARY OCEANSIDE, CA 92054	CHAIRPERSON 2.00	0.	0.	0.
JANE TOLAR EASTON, MD 21601	DIRECTOR 2.00	0.	0.	0.
ROBERTA LINDERMAN MINDEN, NV 89423	DIRECTOR 2.00	0.	0.	0.
Totals Included on Form 990, Part V-A		190,376.	68,040.	0.

Form 990	Identification of Related Organizations	Statement	9
	Part VI, Line 80b		

<u>Name of Organization</u>	<u>Exempt</u>	<u>NonExempt</u>
THE GENERAL SERVICE BOARD OF A.A., INC.	X	
ALCOHOLICS ANONYMOUS GRAPEVINE, INC.	X	

Form 990

Part V-A Officer Compensation from
Related Organizations

Statement 10

Officer's Name	Compensation	Employee Benefit Plan Contribution	Expense Account
GREG MUTH	120,893.	43,207.	0.

Name of Related Organization	Employer ID Number
GENERAL SERVICE BOARD OF ALCOHOLICS ANONYMOUS	23-7282071

Relationship Between Organizations

AFFILIATED EXEMPT ORGANIZATIONS

Compensation Description

THE COMPENSATION OF THE GENERAL MANAGER IS SHARED EQUALLY BETWEEN AA WORLD SERVICES & THE GENERAL SERVICE BOARD OF AA, TWO RELATED TAX EXEMPT ORGANIZATIONS.

Officer's Name	Compensation	Employee Benefit Plan Contribution	Expense Account
DONALD MEURER	69,482.	24,833.	0.

Name of Related Organization	Employer ID Number
GENERAL SERVICE BOARD OF ALCOHOLICS ANONYMOUS	23-7282071

Relationship Between Organizations

AFFILIATED EXEMPT ORGANIZATIONS

Compensation Description

THE COMPENSATION OF THE CFO/CONTROLLER IS SHARED EQUALLY BETWEEN AA WORLD SERVICES & THE GENERAL SERVICE BOARD OF AA, TWO RELATED TAX EXEMPT ORGANIZATIONS.

State of New York } ss:
Department of State }

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.

Witness my hand and seal of the Department of State on

August 25, 2006



A handwritten signature in black ink, appearing to read "D. J. [unclear]".

Special Deputy Secretary of State

1060825000662

RESTATED CERTIFICATE OF INCORPORATION
-OF-
ALCOHOLICS ANONYMOUS WORLD SERVICES, INC.

Under Section 805 of the Not-for-Profit Corporation Law

We, the undersigned, being the Chairperson and the Secretary of
ALCOHOLICS ANONYMOUS WORLD SERVICES, INC., do hereby certify:

(1) The name of the corporation is ALCOHOLICS ANONYMOUS WORLD
SERVICES, INC., which is the name under which the corporation
was formed.

(2) The certificate of incorporation of ALCOHOLICS ANONYMOUS
WORLD SERVICES, INC. was filed by the Department of State on the
10th day of December, 1962.

(3) The certificate of incorporation of ALCOHOLICS ANONYMOUS
WORLD SERVICES, INC. is amended to effect the following
amendments authorized by the Not-for-Profit Corporation Law of
the State of New York (the "NPCL"): paragraph FIRST, the word
"proposed" is deleted; paragraph SECOND, the purposes clause, is
renumbered as paragraph THIRD, and a new paragraph SECOND is
inserted which refers to the statutory definition of the
corporation and its type; renumbered paragraph THIRD, the
seventh word in the introductory paragraph is changed from "are"
to "is"; renumbered paragraph THIRD, the word "to," at the end
of the introductory paragraph is deleted; renumbered paragraph

THIRD, subparagraph A, everything following the phrase "...preparing publications and communications..." insofar as it is an historical reference to the assumption of the assets of Alcoholics Anonymous World Services, Inc., a predecessor stock corporation, by ALCOHOLICS ANONYMOUS WORLD SERVICES, INC., and the fact that The General Service Board of Alcoholics Anonymous, Inc. owned the stock of said predecessor corporation, is deleted as no longer relevant, and the reference to the number of groups served by The General Service Board of Alcoholics Anonymous, Inc. is described in general terms and merged into the language of renumbered paragraph THIRD, subparagraph B; renumbered paragraph THIRD, subparagraph B, the reference to The General Service Board of Alcoholics Anonymous, Inc., as a New York membership corporation is updated; renumbered paragraph THIRD, subparagraph E was deleted as no longer applicable; renumbered paragraph THIRD, subparagraph F is deleted and replaced by a newly added paragraph ELEVENTH, and the words, "...of Alcoholics Anonymous, Inc." have been deleted; the existing paragraphs THIRD and FOURTH have been renumbered as paragraphs FOURTH and FIFTH, respectively, and the description of the location of the Corporation's office has been reworded to more specifically comply with the NCPL; the existing paragraph FIFTH, regarding liquidation, is deleted and replaced by a newly added paragraph

NINTH, concerning dissolution; the existing paragraph SIXTH is renumbered as newly added paragraph TENTH; paragraph SIXTH now provides for the designation of the New York Secretary of State as the corporation's agent for the service of process, and provides the post office address to which the Secretary of State may mail such process; the existing paragraph SEVENTH, providing the names and addresses of the original directors, is deleted; a new paragraph SEVENTH is added, in order to include language required by §501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code"), regarding limitations respecting the corporation's activities, language indicating that the corporation is non-profit and therefore limited regarding the distribution of the corporation's earnings, and language defining limitations regarding the corporation's involvement in political activities; the existing paragraph EIGHTH, providing the qualifications of the subscribers to the original certificate of incorporation, is deleted; and, a new paragraph EIGHTH is added to include such limitations, respecting the corporation's activities, as would apply in the event the corporation is treated as a "private foundation."

(4) The text of the certificate of incorporation is hereby restated, as amended, to read as herein set forth in full:

FIRST: The name of the corporation is Alcoholics Anonymous World Services, Inc.

SECOND: The corporation is a corporation as defined in Section 102(a)(5) of the Not-for-Profit Corporation Law of the State of New York (the "NPCL"), and is a Type B corporation under Section 201 of the NPCL.

THIRD: The purposes for which this corporation is formed are exclusively charitable, educational and scientific in nature, and in furtherance thereof the corporation is authorized:

A. To constitute a service organization for the Alcoholics Anonymous movement and to engage in preparing publications and communications.

B. To assist The General Service Board of Alcoholics Anonymous, Inc., a not-for-profit corporation organized under the laws of the State of New York (the "General Service Board"), which is the basic organization serving the thousands of local autonomous groups constituting integral parts of the Alcoholics Anonymous movement, in such duties and functions as may be assigned to it by the General Service Board.

C. To carry out such services which relate to the attainment of sobriety and the maintenance of sobriety of persons suffering from or threatened with alcoholism.

D. To engage in any and all activities calculated to assist in the voluntary restoration of individuals suffering from or threatened with alcoholism to normal life under conditions of sobriety and to conduct or participate in all classes of services calculated to be of help to persons suffering from or threatened with alcoholism.

FOURTH: The territory in which the operations of the corporation are principally to be conducted is the entire United States of America and Canada.

FIFTH: The Corporation maintains an office in the County of New York, State of New York.

SIXTH: The Secretary of State of the State of New York is hereby designated the agent of the corporation upon whom process against it may be served. The post office address to which the Secretary of State shall mail a copy of any process against the corporation served upon the Secretary of State as agent of the corporation is: General Manager, Alcoholics Anonymous World Services, Inc., 475 Riverside Drive, New York, NY 10115.

4

SEVENTH: A. The corporation shall not carry on any activities not permitted to be carried on by a corporation exempt from federal income tax as an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code").

B. The corporation is not formed for pecuniary profit or for financial gain and no part of the net earnings of the corporation shall inure to the benefit of, or be distributable to, any member, director or officer of the corporation; or to any private individual, except that the corporation shall be authorized to pay reasonable compensation for services rendered to or for the corporation in furtherance of one or more of its purposes.

C. Except to the extent permitted by the Code, the corporation shall not carry on propaganda or otherwise attempt to influence legislation. The corporation shall not participate or intervene (including the publishing or distributing of statements) in any political campaign on behalf of or in opposition to any candidate for public office.

EIGHTH: During such period or periods of time as the corporation is treated as a "private foundation" pursuant to the Code, the board of directors of the corporation (the "Board of Directors") must distribute the corporation's income at such time or times and in such manner so as not to subject the corporation to tax under Section 4942 of the Code, and the corporation is prohibited from engaging in any act of self-dealing (as defined in Section 4941(d) of the Code), from retaining any excess business holdings (as defined in Section 4943(c) of the Code) which would subject the corporation to a tax under Section 4943 of the Code, from making any investments or otherwise acquiring assets in such a manner so as to subject the corporation to a tax under Section 4944 of the Code, from retaining any assets which would subject the corporation to a tax under Section 4944 of the Code if the corporation had acquired such assets, and from making any taxable expenditures (as defined in Section 4945(d) of the Code).

NINTH: In the event of dissolution of the corporation, all of the remaining assets and property of the corporation shall, after paying or making provision for the payment of all the liabilities of the corporation and for the necessary expenses thereof, be distributed to the General Service Board, provided that it then is qualified as a tax-exempt organization described in Section 501(c)(3) of the Code. If the General Service Board

5

is not so qualified as a Section 501(c)(3) organization, then the assets and property shall be applied as determined by the Board of Directors, and as approved by a Justice of the Supreme Court of the State of New York, for the charitable, educational and scientific purposes for which the corporation is organized by distributing such property and assets for the furtherance of the work of institutions with similar purposes and objects which shall qualify under Section 501(c)(3) of the Code. In the event of voluntary dissolution, such institutions shall be selected in the discretion of the Board of Directors, subject to approval of the plan of dissolution and distribution of assets upon order of a Justice of the Supreme Court of the State of New York. In no event shall any of such assets be distributed to any member, director, or officer of the corporation, or to any private individual.

TENTH: The number of the corporation's directors shall be not less than seven nor more than twenty-five.

ELEVENTH: No one shall be elected to be a member of the corporation, nor continue as a member of the corporation, unless such person shall contemporaneously serve as a member and trustee of the General Service Board.

(5) This restatement of the certificate of incorporation of Alcoholics Anonymous World Services, Inc., was authorized by the unanimous vote of all Members of the Corporation entitled to vote thereon, at a meeting of the Members, duly called, and held on April 29, 2006.

IN WITNESS WHEREOF, the undersigned have subscribed this certificate and hereby affirm it as true under the penalties of perjury, this 27 day of July, 2006.

Paul Cleary
Paul Cleary, Chairperson
Alcoholics Anonymous World Services, Inc

Joseph Dennon
Joseph Dennon, Secretary
Alcoholics Anonymous World Services, Inc.

The undersigned has no objection to the granting of Judicial approval
hereon and waives statutory notice.

THE ATTORNEY GENERAL HAS NO OBJECTION
TO THE GRANTING OF JUDICIAL APPROVAL
HEREON, ACKNOWLEDGES RECEIPT OF
STATUTORY NOTICE AND DEMANDS SERVICE
OF THE FILED CERTIFICATE. SAID NO OBJECTION
IS CONDITIONED ON SUBMISSION OF THE
MATTER TO THE COURT WITHIN 30 DAYS HEREAFTER.

by Laura A. Kiner
ASSISTANT ATTORNEY GENERAL DATE
August 7, 2006

Date: _____

JACQUELINE W. SILBERMANN

, a Justice of the Supreme Court of the State of

New York for the FIRST Judicial District do hereby approve of the foregoing

Restated Certificate of Incorporation of Alcoholics Anonymous World

Servise, Inc.

and consent that the same be filed.

Date: AUG 22 2006

Jacqueline W. Silbermann
J.S.C.

8